UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/698,843	10/31/2003	Eric Hammill	279.581US1	9309
21186 7590 05/06/2009 SCHWEGMAN, LUNDBERG & WOESSNER, P.A. P.O. BOX 2938 MINNEAPOLIS, MN 55402			EXAMINER	
			STOKLOSA, JOSEPH A	
WIINNEAPOLIS, WIN 55402		ART UNIT	PAPER NUMBER	
			3762	
		MAIL DATE	DELIVERY MODE	
			05/06/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
Office Action Comments	10/698,843	HAMMILL ET AL.					
Office Action Summary	Examiner	Art Unit					
	JOSEPH STOKLOSA	3762					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1) Responsive to communication(s) filed on 12 Fe	bruary 2009.						
	action is non-final.						
<i>i</i>	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
,— · · ·	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
closed in accordance with the practice under Ex parte Quayle, 1999 O.B. 11, 400 O.B. 210.							
Disposition of Claims							
4)⊠ Claim(s) <u>1-13,24,26-35 and 38</u> is/are pending i	4) Claim(s) 1-13,24,26-35 and 38 is/are pending in the application.						
4a) Of the above claim(s) is/are withdraw	4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-13,24,26-35 and 38</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or	8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers							
9) The specification is objected to by the Examiner.							
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).							
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority under 35 U.S.C. § 119							
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ite					

Application/Control Number: 10/698,843 Page 2

Art Unit: 3762

### **DETAILED ACTION**

### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 2/12/2009 has been entered.

## Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the first paragraph of 35 U.S.C. 112:
  - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 3. Claims 1-10, 24, 26-35, and 38 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Claim 1 and Claim 24 contain the limitation "wherein the at least one impedance monitoring conductive sleeve is physically isolated and electrically isolated from *all* sensing and therapy conductors including the electrode and the conductor..." in combination with the other elements in the claim(s). While applicant has provided support at Page 7 line 22-23 that the "conductive sleeve is electrically isolated from the electrode and the conductor" this

Art Unit: 3762

passage fails to provide support that the conductive sleeve is electrically and physically isolated from *all* electrodes and conductors. Examiner considers this claim limitation to be a negative limitation or exclusionary proviso and as such reminds applicant that "Any negative limitation or exclusionary proviso must have basis in the original disclosure...

The mere absence of a positive recitation is not basis for an exclusion.(MPEP 2173.05(i))"

# Claim Objections

4. Claim 1, line 18 is objected to because of the following informalities: Line 18 contains "where" and should be replaced by "with". Appropriate correction is required.

## Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 6. Claims 11-13 are rejected under 35 U.S.C. 102(b) as being anticipated by Webster (US 5,569,220).
- 7. Webster discloses a lead body with an insulating layer (e.g. elements 20, 30, and 40). Webster discloses a series of electrodes that are electrically connected to the conductor (e.g. electrodes 15, and copper wire conductors 16). Webster further discloses a means for detecting wear or the insulation layer (i.e. braided conductive sleeves 34 and 24). Webster discloses the braided meshes to run along the length of

Art Unit: 3762

the lead body from the distal potion back to the proximal section which inherently includes a mechanical connection of the braided meshes to the control handle and electrical stimulator/recorded (e.g. Fig. 1), and therefor is capable of being connected to an impedance monitoring device. It is of note that Applicant has failed to positively recite an actual impedance monitoring device and therefore the claims only require a mechanical connection capable of connecting to an impedance monitoring device. Further of note is that the claims only requires a mechanical connection since the claims as written do not state "electrically" connected to an impedance monitoring device or the means for detecting wear.

# Response to Arguments

8. Applicant's arguments with respect to claims 1-13, 24, 26-35, and 38 have been considered but are moot in view of the new ground(s) of rejection necessitated by amendment.

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOSEPH STOKLOSA whose telephone number is (571)272-1213. The examiner can normally be reached on Monday-Friday 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela Sykes can be reached on 571-272-4955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/698,843 Page 5

Art Unit: 3762

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/George R Evanisko/ Primary Examiner, Art Unit 3762 Joseph Stoklosa Examiner Art Unit 3762

/Joseph Stoklosa/ Examiner, Art Unit 3762 5/4/2009